

Attorney's Docket No.:	JG-YY-4952	
U.S. Application No.:	09/508,026	
International Application No.:	PCT/JP98/03983	
International Filing Date:	SEPTEMBER 04, 1998	04 SEPTEMBER 1998
Priority Date Claimed:	SEPTEMBER 04, 1997	04 SEPTEMBER 1997
Title of Invention:	EPOXYSUCCINAMIDE DERIVATIVES	
Applicant(s) for (DO/EO/US):	Yutaka NOMURA, Toshihiro TAKAHASHI, Yasushi YOSHINO and Koichiro NISHIOKA	

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☐ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and
- ☐ 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☐ 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
- a) ☐ is transmitted herewith (required only if not transmitted by the International Bureau)
- b) ☐ has been transmitted by the international Bureau
- c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
- ☐ 6. A translation of the International Application into English [35 U.S.C. 371(c)(2)]
- ☐ 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
- a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau)
- b) ☐ have been transmitted by the International Bureau
- c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
- d) ☐ have not been made and will not be made
- ☐ 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]
- ☒ 9. An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)]
- ☐ 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

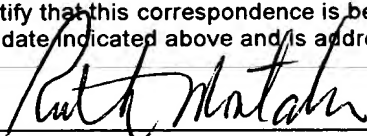
Items 11. to 16. below concern other document(s) or information included:

- ☐ 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98
- ☐ 12. An Assignment document for recording. A separate cover sheet (PTO-1595) in compliance with 37 CFR 3.28 and 3.31 is included.
- ☐ 13. ☒ A **FIRST** preliminary amendment
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment
- ☐ 14. A substitute specification
- ☐ 15. A change of power of attorney and/or address letter
- ☒ 16. (other items or information) **PCT/DE/EO/905 dated April 14, 2000.**

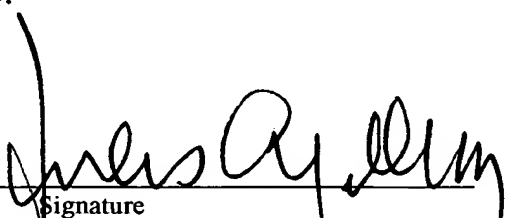
EXPRESS MAIL No.: EL 138 360 788 US

Deposited: May 5, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PCT, Assistant Commissioner for Patents, Washington, DC 20231.



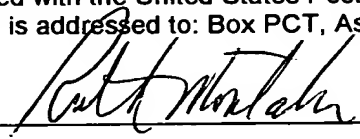
/Ruth Montalvo Date: May 5, 2000

						CALCULATIONS	PTO USE ONLY
<input type="checkbox"/> 17. The following fees are submitted: <u>BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]</u>							
<input checked="" type="checkbox"/> Search Report has been prepared by the EPO or JPO..... \$ 840.00							
<input type="checkbox"/> International preliminary examination fee paid to USPTO [37 CFR 1.482]..... \$ 670.00							
<input type="checkbox"/> No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)]..... \$ 690.00							
<input type="checkbox"/> Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO..... \$ 970.00							
<input type="checkbox"/> International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$ 96.00							
ENTER APPROPRIATE BASIC FEE AMOUNT:						\$.00	
Claims	Number filed		Number extra	Rate			
Total Claims		-20		x \$ 18. =			
Indep. Claims		-03		x \$ 78. =			
<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)					+ \$260. =		
TOTAL OF ABOVE CALCULATIONS:						\$.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date [37 CFR 1.492(e)]						\$ 130.00	
TOTAL OF ABOVE CALCULATIONS:						\$ 130.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must be filed. [Note 37 CFR 1.9, 1.27, 1.28]						\$.00	
SUBTOTAL:						\$ 130.00	
Processing fee of \$130.00 for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 CFR 1.492(f)]						\$.00	
TOTAL NATIONAL FEE:						\$ 130.00	
Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property +						\$.00	
TOTAL FEE(S):						\$ 130.00	
AMOUNTS TO BE REFUNDED OR CHARGED						REFUNDED CHARGED	\$ \$
<input checked="" type="checkbox"/> Check in the amount of \$ 130.00 to cover the above fees is enclosed. (The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 13-0025.)							
NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO: Jules E. Goldberg, Esq. McAulay Nissen Goldberg & Kiel, LLP 261 Madison Avenue New York, NY 10016-2391							
Jules E. Goldberg Name (Tel. (212) 986-4090)		 Signature		24,408 Reg. No.		May 5, 2000 Date	

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Ruth Montalvo
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUL 31 2000

Docket No.: JG-YY-4952

TECH CENTER 1600/2900

Applicant(s): Yutaka NOMURA, Toshihiro TAKAHASHI, Yasushi YOSHINO and Koichiro NISHIOKA

Serial No.: 09/508,026

(Int'l Appln. PCT/JP98/03983 filed September 4, 1998)

Filed: Concurrently herewith

For: EPOXYSUCCINAMIDE DERIVATIVES

National Phase of PCT Application No. PCT/JP98/03983 filed 04 SEPTEMBER 1998

TRANSMITTED HERewith IS THE FOLLOWING

- X 1. Transmittal Letter to the United States Designated/Elected Office (PTO-1390);
- X 2. Executed Declaration/POA;
- X 3. PCT/EO/DO/905 dated April 14, 2000;
- X 4. Check in the amount of \$ 130.00

The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 13-0025.

Respectfully submitted,

JEG:ram



Jules E. Goldberg

Reg. No. 24,408